

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gastineau *et al.*

Appl. No.: 09/815,589

Filed: March 23, 2001

For: HEDGING TRADING OF MUTUAL
FUNDS OR OTHER PORTFOLIO
BASKET PRODUCTS



Examiner: Charles, Debra F.

Art Unit: 3624

Confirmation No.: 7446

Atty. Docket No: 00322.0007.CNUS01

Date: April 15, 2008

**TRANSMITTAL OF INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §1.56, 1.97 and 1.98**

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Information Disclosure Statement under 37 C.F.R. §1.56, 1.97 and 1.98;
- ☒ Form SB/08a and SB/08b citing twenty-four (24) references and including copies of B1 and C1-C5; and
- ☒ Return postcard.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to *Mail Stop Amendment*, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 15, 2008
Date of Deposit

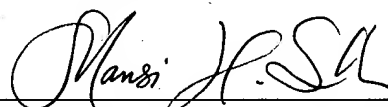
Joan M. Gordon
Name of Person Mailing Paper


Signature of Person Mailing Paper

The Commissioner is hereby authorized to charge our Deposit Account No. **08-3038** for the fee as set forth in 37 C.F.R. § 1.17(p) in the amount of **\$180.00**. Kindly make reference to Howrey Docket No. **00322.0007.CNUS01** when debiting this account.

Respectfully submitted,

Date: April 15, 2008


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